



2800

**ATTENTION:**

OFFICE OF INITIAL  
PATENT EXAMINATION'S  
FILING RECEIPT CORRECTIONS  
32860-000283/US

RECEIVED  
DEC 27 2002  
TECHNOLOGY CENTER 2800

## IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: Manfred ROTHHARDT et al.  
INTERNATIONAL APPL. NO.: PCT/DE00/03078  
APPL. NO.: 10/070,607  
Conf.: 9049  
Group Art: 2874  
FILED: MARCH 8, 2002  
FOR: METHOD FOR PRODUCING AN OPTICAL GRATING  
ON AN OPTICAL CONDUCTOR AND DEVICE  
COMPRISING A GRATING OF THIS TYPE

**LETTER REQUESTING CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, DC 20231

October 25, 2002

Sir:

The Official Filing Receipt mailed October 9, 2002 (copy attached herewith) does not reflect the **FOREIGN APPLICATION** information of the subject application. Applicants respectfully request issuance of a corrected Official Filing Receipt. Changes to be made are indicated in red on the attached copy of the Official Filing Receipt.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment of Deposit Account No. 08-0750 for any

additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C

By \_\_\_\_\_  
Donald J. Daley, Reg. No. 34,313

P.O. Box 8910  
Reston, VA 20195  
(703) 390-3030

Attachment



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



D50

Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/070,607	08/02/2002	2874	1020	32860- 000283/US	2	6	2

CONFIRMATION NO. 9049

30596

HARNESS, DICKEY & PIERCE, P.L.C.  
P.O.BOX 8910  
RESTON, VA 20195

## FILING RECEIPT



\*OC000000008917979\*

Date Mailed: 10/09/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Manfred Rothhardt, Kunitz, GERMANY;  
Michael Willsch, Fürth, GERMANY;

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/DE00/03078 09/06/2000

## Foreign Applications

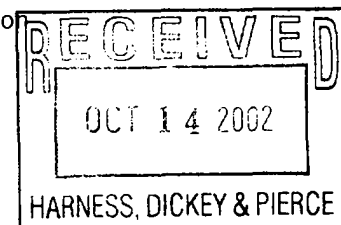
GERMANY 19943387.9 9/10/1999

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TECHNOLOGY CENTER 2900

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No



## Title

Method for producing an optical grating on an optical conductor and device comprising a grating of this type

## Preliminary Class

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY. DOCKET NO
10/070,607	Manfred Rothhardt	32860-000283/US
INTERNATIONAL APPLICATION NO		
PCT/DE00/03078		
LA FILING DATE	PRIORITY DATE	
09/06/2000		

30596  
 HARNESS, DICKEY & PIERCE, P.L.C.  
 P.O. BOX 8910  
 RESTON, VA 20195

CONFIRMATION NO. 9049

371 ACCEPTANCE LETTER



\*OC000000008917981\*

Date Mailed: 10/09/2002

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>08/02/2002</u>	<u>08/02/2002</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination
- Substitute Specification

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)